

**Local behavior of automorphic  
Galois representations**

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- 1 **Irreducibility**, over  $\mathbb{Q}$ , and the
  - 2 **Semisimplicity** for  $n = 2$ , over totally real fields  $F$ ,
- of these local Galois representations.

# Some classical results

Let  $f = \sum_{n=1}^{\infty} a_n q^n$  be a primitive classical cusp form of

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attached to  $f$  is **irreducible**. However, the **local** representation

$$\rho_{f,p}|_{G_p}$$

obtained by restricting  $\rho_{f,p}$  to a decomposition subgroup  $G_p$  at  $p$  may be **reducible**.



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$$\alpha = \begin{cases} \text{unit root of} \\ x^2 - a_p x + p^{k-1} \psi(p), & \text{if } p \nmid N, \\ a_p, & \text{if } p \parallel N, p \nmid \text{cond}(\psi) \text{ \& } k = 2, \\ a_p, & \text{if } v_p(N) = v_p(\text{cond}(\psi)) \geq 1. \end{cases}$$

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- $\beta = \psi'(p)p^{k-1}/\alpha$ .

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Can we generalize these results about irreducibility to  $GL_n$ ?

Furthermore, even when  $n = 2$ , can we specify when the local reducible representation above is semi-simple?

# 1. Irreducibility

We show that the local Galois representations coming from automorphic representations of  $GL_n(\mathbb{A}_{\mathbb{Q}})$  have a particularly simple behaviour, if the underlying Weil-Deligne representation is **indecomposable**.

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We work under a technical assumption from  $p$ -adic Hodge theory.

# Motivation from $\mathrm{GSp}_4$

Let  $\pi$  be a cuspidal automorphic form on  $\mathrm{GSp}_4(\mathbb{A}_{\mathbb{Q}})$  with  $\pi_{\infty}$  in the discrete series of weight  $(a, b; a + b)$  with  $a \geq b \geq 0$ .

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Tilouine-Urban: There exist various notions of ordinarity of  $\pi$  at  $p$ , e.g., if  $\pi_p$  is unramified, then  $\pi_p$  may be Borel or Siegel or Klingen ordinary.

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$$v_p(\alpha) = 0, v_p(\beta) = b + 1, v_p(\gamma) = a + 2, v_p(\delta) = a + b + 3$$

where  $(x - \alpha)(x - \beta)(x - \gamma)(x - \delta)$  is the Hecke polynomial at  $p$ ,

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# Towards $GL_n$

Let  $\pi$  be a cuspidal automorphic representation on  $GL_n(\mathbb{A}_{\mathbb{Q}})$  with infinitesimal character

$$\begin{aligned}\chi_H : \mathcal{Z}_n = \mathbb{C}[X_1, \dots, X_n] &\rightarrow \mathbb{C} \\ X_j &\mapsto x_j\end{aligned}$$

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## Conjecture

*If  $H$  consists of  $n$  distinct integers  $-\beta_1 > \dots > -\beta_n$ , then there exists a strictly compatible system of Galois representations*

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Much progress had been made on this by Clozel, Harris, Taylor, ...

# Weil-Deligne representations

Since  $\rho_{\pi,p}$  is geometric (potentially semistable at  $p$ ), there is a Weil-Deligne representation  $\text{WD}(\rho_{\pi,p}|_{G_p})$ , attached to  $\rho_{\pi,p}|_{G_p}$ .

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**Remark:** The general WD representation for  $d \geq 1$  arises as the unique irreducible quotient (Langlands quotient) of the double induction:  $\text{Ind}_P^G(Q(\Delta_1) \otimes \cdots \otimes Q(\Delta_d))$ .

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- If  $\pi$  is not  $p$ -ordinary, then  $\rho_{\pi,p}|_{G_p}$  is irreducible.
- 2 If  $m \geq 2$ , then  $\rho_{\pi,p}|_{G_p}$  is always irreducible.

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**Assume:** the Hodge filtration on  $D$  is in general position with respect to the Newton filtration.

## Lemma

*Let  $a_1 < \dots < a_n$  and  $b_1 < \dots < b_n$  be two increasing sequences of integers s.t.*

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So equality holds: all  $b_n - b_i = a_n - a_i$ , and  $a_i = b_i$  for all  $i$ .

# Proof continued

For  $1 \leq r \leq n$ , let

$$D_r = \langle e_1, \dots, e_r \rangle,$$

be the unique  $(\varphi, N)$ -submodule of  $D$  of rank  $r$ .

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**Proof:** Let  $\alpha_j^{-1} = p^{j-1}/\alpha$ . Then:

$$t_H(D_i) = \sum_{j=1}^i \beta_j = - \sum_{j=1}^i v_p(\alpha_j) = t_N(D_i), \quad (1)$$

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**Proof:** If  $D$  is irreducible, then done.

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**Proof:** If  $D$  is irreducible, then done. Else, there exists  $i$  such that  $D_i$  is admissible. If  $D_{i-1}$  or  $D_{i+1}$  is admissible, then done by the Proposition.

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$$\sum_{j=1}^{i-1} \beta_j < -\sum_{j=1}^{i-1} v_p(\alpha_j),$$

$$\sum_{j=1}^i \beta_j = -\sum_{j=1}^i v_p(\alpha_j),$$

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## 2. Semisimplicity

Recall: if  $f$  is  $p$ -ordinary, then the local Galois representation

$$\rho_{f,p}|_{G_p} \sim \begin{pmatrix} * & * \\ 0 & * \end{pmatrix}$$

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We will, in fact, prove results more generally for Hilbert modular cusp forms.

# Some notation

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$$\text{tr}(\rho_{f,p}(\text{Frob}_{\mathfrak{q}})) = c(\mathfrak{q}, f) \quad \text{and} \quad \det(\rho_{f,p}(\text{Frob}_{\mathfrak{q}})) = \psi(\mathfrak{q})N(\mathfrak{q})^{k-1}.$$

# Split and CM

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Then  $f \in S_k(\mathbb{N}_{K/F}(M) \cdot D_{K/F}, \lambda|_{\mathbb{A}_F^{\times}} \cdot \omega_{K/F})$ .

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- 3 These exotic forms do not occur for weight  $k \geq 2$  (since  $\pi_v$  cannot be both discrete series and principal series).

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**Today:** We show that 'most' forms in 'most' non-CM Hilbert modular Hida families are NOT  $p$ -split.

# Main Theorem

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## Theorem (Balasubramanyam-G-Vatsal, 2012)

*Suppose  $p > 2$  split completely in  $F$ . Let  $S(n_0)$  = set of all primitive  $p$ -ordinary Hilbert modular cusp forms of weight  $k \geq 2$  and prime-to- $p$  level  $n_0$ ,*

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Then except for a 'Zariski small' subset of  $S(\mathfrak{n}_0)$

$f$  is  $p$ -split  $\iff f$  has CM.

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4. When  $k = 2$ , see also B. Zhao's forthcoming UCLA thesis.

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Let  $\psi : G_F \twoheadrightarrow \text{Cl}_{F,+}(n_0p) \rightarrow \mathcal{O}^\times$  be of finite order and set  $\Psi = \psi \cdot \chi$ .

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Define primitive forms  $\mathcal{F}$  (eigen + new + normalized forms) appropriately.

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$$\rho_{\mathcal{F}}|_{G_p} \sim \begin{pmatrix} \delta_{\mathcal{F},p} & u_{\mathcal{F},p} \\ 0 & \epsilon_{\mathcal{F},p} \end{pmatrix}.$$

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Theorem  $\Lambda$  implies the main Theorem, by descent to the classical world.

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Then, there is a Hilbert cusp form  $f$  of weight 1 such that  $\rho \sim \rho_f$ , the Rogawski-Tunnel representation attached to  $f$ .

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As  $\epsilon$  varies, we see  $\mathcal{F}$  has a Zariski dense set of classical weight 1 specializations.

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Thus  $\mathcal{F}$  is a CM form, and we are done.

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### Corollary

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**E.g.:** Greenberg-Vatsal have remarked that if there is Steinberg-type prime in the prime-to- $p$  level  $N_0$  of  $\mathcal{F}$ , then  $\mathcal{F}$  has **no** classical weight 1 specializations.

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In the context of families, we have:

### Theorem (G-Dimitrov, 2012)

*If  $\mathcal{F}$  is residually exceptional and  $p \geq 7$ , then there is at most ONE exceptional form in  $\mathcal{F}$ .*

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- exceptional type ( $A_4, S_4, A_5$ ) or
- dihedral type (RM or CM),

and then, the residual representation  $\bar{\rho}_{\mathcal{F}}$  is of the same type.

Exceptional weight 1 forms are rare. For example:

### Theorem (Bhargava-G, 2009)

*The number of octahedral forms of prime level is, on average, bounded by a constant.*

In the context of families, we have:

### Theorem (G-Dimitrov, 2012)

*If  $\mathcal{F}$  is residually exceptional and  $p \geq 7$ , then there is at most ONE exceptional form in  $\mathcal{F}$ .*

**Also:** if  $p = 3$  or  $5$ , there are at most 4 such forms in  $\mathcal{F}$ !



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*where  $h_K$  is the class number of  $K$  and  $\epsilon_K$  is a fundamental unit of  $K$ .*

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- On the other hand, there are examples of non-CM and residually CM families  $\mathcal{F}$  with classical weight 1 CM points, with  $p \mid D_K$ .

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So, in view of the above remarks, is uniqueness *trying to hold* in weight 1? This is our next question.

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Thus Hida's Hecke algebra is not étale at weight 1 points, but is there still a chance that uniqueness (up to conjugacy) holds?

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a contradiction, since  $\mathcal{F}$  cannot have RM forms in wts  $\geq 2$ .

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Answers to these questions have implications for the geometry of the eigencurve at classical weight 1 points.



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Let  $S_{k,w}(\mathfrak{n}, \mathbb{C})$  be the space of Hilbert modular forms of weight  $(k, w)$ .

For  $\mathfrak{a} \subset \mathcal{O}_F$ , let

$$T_0(\mathfrak{a}) = \{\mathfrak{a}^v\}^{-1} T(\mathfrak{a})$$

be Hida's modified Hecke operator.

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Hida: An eigenform  $f \in S_{k,w}(\mathfrak{n}, \mathbb{C})$  is **nearly  $p$ -ordinary** if it's  $T_0(\mathfrak{p})$ -eigenvalue is a  $p$ -adic unit for all  $\mathfrak{p} | p$ . In this case

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**NB:** Sasaki's theorem (and it's refinements) allow  $\alpha_{\mathfrak{p}}$  and  $\beta_{\mathfrak{p}}$  to have arbitrary finite ramification on inertia.

So one might expect that all the proofs go through in the  $n.\text{ord}$  setting.

# Nearly ordinary forms

Hida: An eigenform  $f \in S_{k,w}(\mathfrak{n}, \mathbb{C})$  is **nearly  $p$ -ordinary** if it's  $T_0(\mathfrak{p})$ -eigenvalue is a  $p$ -adic unit for all  $\mathfrak{p}|p$ . In this case

$$\rho_{f,p}|_{G_{\mathfrak{p}}} \sim \begin{pmatrix} \delta_{\mathfrak{p}} & u_{\mathfrak{p}} \\ 0 & \epsilon_{\mathfrak{p}} \end{pmatrix}$$

for all  $\mathfrak{p}|p$ , but  $\epsilon_{\mathfrak{p}}$  is not necessarily unramified.

**NB:** Sasaki's theorem (and it's refinements) allow  $\alpha_{\mathfrak{p}}$  and  $\beta_{\mathfrak{p}}$  to have arbitrary finite ramification on inertia.

So one might expect that all the proofs go through in the  $n.\text{ord}$  setting. This is indeed true.

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$$P_{n,v,\epsilon_1,\epsilon_2} : L \rightarrow \bar{\mathbb{Q}}_p$$

extend homomorphisms  $\mathcal{O}[[\mathbf{H}]] \rightarrow \bar{\mathbb{Q}}_p$  which on  $\mathbf{H}$  are given by

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## Finally, $p = 2$

All of this (i.e., local semisimplicity for  $\Lambda$ -adic forms) **should work** for  $p = 2$ , but some key results do not seem to be in the literature yet.

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This may come out of methods from P. Allen's recent UCLA thesis.

**Thank you!**