

SYMPLECTIC ROOT NUMBERS OF TWO-DIMENSIONAL  
GALOIS REPRESENTATIONS: AN INTERPRETATION

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Let  $F$  be  $\mathbb{R}$  or a non-archimedean local field of odd residual characteristic,  $\overline{F}$  a separable algebraic closure,  $\text{Gal}(\overline{F}/F)$  (resp.  $W_F$ ) the absolute Galois group (resp. Weil group) of  $F$ , and  $\sigma : \text{Gal}(\overline{F}/F) \rightarrow \text{GL}(V)$  a continuous representation on a complex vector space  $V$  of dimension  $n$ . Denote by  $L(\sigma, s)$  and  $\varepsilon(\sigma, s)$  respectively the associated  $L$ -function and  $\varepsilon$ -factor ([T]); they are also defined for virtual representations (and for representations of  $W_F$ ). The *root number* of  $(\sigma, V)$  is defined to be

$$W(\sigma) = \varepsilon(\sigma, 1/2).$$

It is independent of all choices if  $V$  has dimension zero and determinant 1, and satisfies the identity  $W(\sigma)W(\sigma^\vee) = 1$ , where  $(\sigma^\vee, V^\vee)$  signifies the dual representation of  $(\sigma, V)$ . In particular we have

$$\sigma \text{ self-dual} \implies W(\sigma) = \pm 1.$$

Determination of the sign of  $W(\sigma)$  is a basic problem. When  $\sigma$  is given by the restriction of a global representation  $\rho$ ,  $W(\sigma)$  is a factor of the global root number  $W(\rho)$ , whose sign gives information on the vanishing of  $L(\rho, s)$  at the critical center  $s = 1/2$ .

Assume from now on that  $(\sigma, V)$  is self-dual. Then there exists a non-degenerate bilinear form  $B$  on  $V$  which is invariant under  $\sigma(\text{Gal}(\overline{F}/F))$ . One says that  $(\sigma, V)$  is *orthogonal* (resp. *symplectic*) if  $B$  is symmetric (resp. alternating); exactly one of these possibilities occurs when  $V$  is irreducible.

Suppose  $(\sigma, V)$  is a virtual sum of orthogonal representations. Then one has the associated Stiefel-Whitney classes  $w_i(\sigma)$  in  $H^i(F, \mathbb{Z}/2)$ . Let  $\tilde{w}_i(\sigma)$  denote 1 (resp.  $-1$ ) if  $w_i(\sigma)$  is trivial (resp. non-trivial). If  $\sigma$  is a genuine (orthogonal) representation with determinant 1, then  $w_2(\sigma)$  is simply the class in  $H^2(F, \mathbb{Z}/2)$  of the extension of  $\text{Gal}(\overline{F}/F)$  by  $\{\pm 1\}$  obtained by pulling back via  $\sigma$  the extension of  $\text{SO}(V)$  defined by its double cover, namely the spin group of  $V$ ; in this case,  $\tilde{w}_2(\sigma) = 1$  iff  $\sigma$  lifts to a representation of  $\text{Gal}(\overline{F}/F)$  into  $\text{Spin}(V)$ . One has the following

**Theorem.** (*Deligne [D1]*) *Let  $(\sigma, V)$  be orthogonal of determinant 1 and dimension 0 (in the Grothendieck group). Then*

$$W(\sigma) = \tilde{w}_2(\sigma).$$

Since  $Sp(n, \mathbb{C})$  is simply connected, this raises the question of how one could understand symplectic representations. Our idea is to use the local Langlands correspondence to attach suitable *orthogonal* representations of certain compact

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groups and study their Stiefel-Whitney numbers. In order to state our result (for  $n = 2$ ), we denote by  $D$  the unique quaternion division algebra over  $F$  and recall (cf. [Ku] + [JL]) that every irreducible two-dimensional representation  $(\sigma, V)$  of  $W_F$  corresponds to a finite dimensional (irreducible)  $\mathbb{C}$ -representation  $(\pi, X)$  of  $D^*$ , such that the root numbers (and conductors) of  $\sigma$  and  $\pi$  coincide. Moreover, the central character  $\omega_\pi$  of  $\pi$  identifies with the character of  $F^*$  attached to the determinant of  $\sigma$  by class field theory. It may be seen that  $\pi$  is self-dual whenever  $\sigma$  is. We first establish the following key

**Proposition A.** *For every irreducible two-dimensional symplectic representation  $(\sigma, V)$ , the associated representation  $(\pi, X)$  of  $D^*$  is orthogonal.*

Note that  $\det(\sigma)$  is trivial when  $\sigma$  is symplectic, and so  $\pi$  factors through a representation of the compact group  $D^*/F^*$ . Given any virtual (orthogonal) representation  $(\rho, Y)$  of a closed subgroup  $G$  of  $D^*/F^*$ , one can associate  $w_2(\rho) \in H^2(G, \mathbb{Z}/2)$  and  $\tilde{w}_2(\rho) \in \{\pm 1\}$  as above. When  $F$  is non-archimedean of residue field  $\mathbb{F}_q$ ,  $q = 2m + 1$ , denote by  $f(\pi)$  the (exponent of the) conductor of  $\pi$ , and define  $s(\pi)$  to be  $mf(\pi)/2$  (resp. 0) when  $f(\pi)$  is even (resp. odd).

**Theorem B.** *Let  $F$  be a non-archimedean local field of odd residual characteristic. Let  $(\sigma, V)$ ,  $(\sigma', V')$  be irreducible, continuous two-dimensional symplectic representations of  $W_F$ , and let  $(\pi, X)$ ,  $(\pi', X')$  be the associated representations of  $D^*/F^*$ . Assume that  $\det(\pi) = \det(\pi')$  and that  $s(\pi) \equiv s(\pi') \pmod{2}$ . Then*

$$W(\sigma \ominus \sigma') = \tilde{w}_2(\pi \ominus \pi').$$

Note that this gives in particular an interpretation of the way the root number of  $\sigma$  changes when twisted by quadratic characters. It should also be remarked that  $s(\pi) \equiv s(\pi') \pmod{2}$  when  $\pi \ominus \pi'$  is, for example, of dimension 0 and determinant 1 (see §5), and also when  $\sigma$  and  $\sigma'$  are both attached to characters of ramified quadratic extensions. See Prop. 5.4 for a variant describing  $W(\pi \ominus \pi')$  assuming only that  $\det(\pi \ominus \pi') = 1$ . The archimedean case is treated in Proposition 5.5. The global implication of our results is not yet clear.

Our method is to analyze the behavior of the irreducible representations of  $D^*$  when restricted to the various toric subgroups  $T$ . More explicitly we consider, for each  $(\pi, X)$ , the representation  $\tilde{\pi} : D^*/F^* \rightarrow SO(X \oplus \mathbb{C})$  defined by  $g \rightarrow (\pi(g), \det(\pi(g)))$ , and establish criteria (in §3 and §4) for  $\tilde{\pi}|_{T/F^*}$  to lift to  $\text{Spin}(X \oplus \mathbb{C})$ . This leads to the following

**Theorem C.** *Let  $F$  be non-archimedean of residue field  $\mathbb{F}_q$ ,  $q$  odd, and let  $\omega$  denote the unique non-trivial quadratic character of  $\mathbb{F}_q^*$ . Let  $\pi$  be an irreducible representation of  $D^*/F^*$  with values in  $O(X)$  attached to a character  $\chi$  of the multiplicative group of a quadratic extension  $K$  of  $F$ . Then the associated representation  $\tilde{\pi}$  lifts to  $\text{Spin}(X \oplus \mathbb{C})$  if and only if  $\omega(-2) = -1$  and  $\varepsilon(\pi) = \omega(-1)$  if  $K$  is ramified and  $f(\pi) = 2f + 1$ , and  $\omega(-1)^{f-1} = -1$  and  $\varepsilon(\pi) = -1$  if  $K$  is unramified and the conductor of  $f(\pi) = 2f$ .*

The calculations underlying the proof yield an explicit formula (see §4) for  $\tilde{w}_2(\tilde{\pi})$  in terms of  $W(\pi)$  and other extraneous factors, which simplify when we consider  $\pi \ominus \pi'$ .

In §6 we indicate a geometric approach based on the cohomology of the Drinfeld coverings of  $p$ -adic upper half spaces and show how to deduce Proposition A for  $F = \mathbb{Q}_p$  from this point of view.

We end the introduction with the following conjecture for any non-archimedean local field  $F$ : For any  $n \geq 1$ , let  $D^*$  be the multiplicative group of a division algebra  $D$  over  $F$  of dimension  $n^2$ , and let  $\sigma \mapsto \pi$  be the correspondence predicted by the local Langlands conjecture [La]. Then, whenever  $\sigma$  is self-dual and symplectic,  $\pi$  is orthogonal.

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## 1. PRELIMINARIES

Let  $F$  be  $\mathbb{R}$  or a non-archimedean local field of odd residual characteristic. If  $K/F$  is a quadratic extension, we will denote by  $\omega_{K/F}$  the quadratic character of  $F^*$  given by class field theory. When  $F$  is non-archimedean, let  $\mathfrak{O}_F$  denote the ring of integers of  $F$ ,  $\varpi$  ( $= \varpi_F$ ) a fixed uniformizer,  $q$  the cardinality of the residue field, and  $\omega$  the unique non-trivial quadratic character of  $\mathbb{F}_q^*$ . In this case, the Weil group  $W_F$  is the subgroup of  $\text{Gal}(\overline{F}/F)$  consisting of automorphisms  $\tau$  which induce an integral power of the Frobenius  $\phi_q : x \mapsto x^q$  on  $\overline{\mathbb{F}}_q$ ; it is thus a non-trivial extension of  $\{\phi_q^n\} \simeq \mathbb{Z}$  by the inertia group  $I_F$  and has dense image in  $\text{Gal}(\overline{F}/F)$ . When  $F = \mathbb{R}$ ,  $W_{\mathbb{R}}$  can be realized as  $\mathbb{C}^* \cup j\mathbb{C}^*$ , where  $j$  satisfies  $j^2 = -1$  and  $jzj^{-1} = \bar{z}$  for all  $z \in \mathbb{C}^*$ ; it is the unique non-trivial extension of  $\text{Gal}(\mathbb{C}/\mathbb{R}) \simeq \mathbb{Z}/2$  by  $\mathbb{C}^*$ .

Fix a non-trivial (unitary) character  $\psi = \psi_F$  of the additive group of  $F$  and a Haar measure  $dx$  on  $F^+$ . We refer to the articles of Deligne ([D2]) and Tate ([T]) for the definition and the basic properties of the epsilon factors  $\varepsilon(\sigma, \psi, dx, s)$  of representations  $(\sigma, V)$  of  $\text{Gal}(\overline{F}/F)$  (or  $W_F$ ). We note that when  $V$  has dimension zero and determinant 1,  $\varepsilon(\sigma, \psi, dx, s)$  is independent of  $(\psi, dx)$ , and we will simply write  $\varepsilon(\sigma, s)$ . To avoid ambiguity in general, we will take  $\psi$  to have conductor  $\mathfrak{O}_F$ , and normalize  $dx$  to be the self-dual measure relative to  $\psi$ . Thus our epsilon factors are those defined by Langlands. For self-dual representations, we will set:  $W(\sigma) = W(\sigma, \psi) = \varepsilon(\sigma, \psi, 1/2)$ .

If  $\eta$  is a representation of  $\text{Gal}(\overline{F}/F)$  (or  $W_F$ ), let  $f(\eta)$  denote the exponent of the conductor of  $\eta$  (resp. 0) if  $F$  is non-archimedean (resp. archimedean).

The following three basic results on local constants will be used in our calculations.

**Proposition 1.1.** ([D2]) *Let  $F$  be non-archimedean,  $\sigma$  a representation of  $W_F$  of dimension  $n$ , and  $\mu$  a (quasi-) character of  $F^*$  ( $\simeq W_F^{\text{ab}}$ ). If either  $\mu$  or  $\sigma$  is unramified, we have*

$$W(\sigma \otimes \mu) = \det(\sigma)(\varpi^{f(\mu)})\mu(\varpi^{f(\sigma)})W(\sigma)W(\mu)^n.$$

**Theorem 1.2.** ([D2, Lemma 4.1.6]) *Let  $F$  be non-archimedean, and let  $\alpha, \beta$  be two (quasi-) characters of  $F^*$  such that  $f(\alpha) \geq 2f(\beta)$ . Choose  $y \in F$  as*

follows: If  $f(\alpha)$  is positive, let  $y$  be such that  $\alpha(1+x) = \psi(xy)$  for all  $x \in F$  with  $\text{val}(x) \geq \frac{1}{2} f(\alpha)$ ; if the conductor of  $\alpha$  is 0, let  $y = \varpi^{-\text{cond}(\psi)}$ . Then

$$W(\alpha\beta, \psi) = \beta^{-1}(y)W(\alpha, \psi).$$

**Theorem 1.3.** (Frohlich-Queyrut [F-Q, Theorem 3]) Let  $K$  be a separable quadratic extension of a local field  $F$ , and let  $\psi_K$  be the additive character of  $K$  defined by  $\psi_K(x) = \psi(\text{tr } x)$ . Then for any character  $\chi$  of  $K^*$  which is trivial on  $F^*$ , and any  $x_0 \in K^*$  with  $\text{tr}(x_0) = 0$ ,

$$W(\chi, \psi_K) = \chi(x_0).$$

Let  $(\sigma, V)$  be an irreducible representation of  $W_{\mathbb{R}}$ . Then it is easy to see it must be of dimension 1 or 2; in the latter case there exists a (quasi) character  $\chi: \mathbb{C}^* \rightarrow \mathbb{C}^*$  such that  $\chi(z) \neq \chi(\bar{z})$  and  $\sigma \simeq \text{Ind}_{\mathbb{C}^*}^{W_{\mathbb{R}}}(\chi)$  with  $\det(\sigma) = \omega_{\mathbb{C}/\mathbb{R}}(\chi|_{\mathbb{C}^*})$ .

When  $F$  is non-archimedean of odd residual characteristic, every irreducible two-dimensional representation  $\sigma$  of  $W_F$  has a similar description. It is the pull back via  $W_F \rightarrow W_{K/F}$ , for some quadratic extension  $K/F$ , of an induced representation  $\text{Ind}_{K^*}^{W_{K/F}}(\chi)$ , where  $\chi$  is a (quasi) character of  $K^*$  such that  $\chi \neq \chi \circ \rho$ ,  $\rho$  being the non-trivial automorphism of  $K/F$ .

**Lemma 1.4.** Let  $F$  be non-archimedean, and let  $K/F$  be a ramified quadratic extension. Suppose  $\chi$  is a character of  $K^*$  which restricts to  $\omega_{K/F}$  on  $F^*$ . Then either  $f(\chi) = 2m$ , with  $m$  a positive integer, or  $f(\chi) = 1$  and the restriction of  $\chi$  to  $\mathfrak{D}_K^*$  is the inflation  $\tilde{\omega}_{K/F}^0$  of the character of  $(\mathfrak{D}_K/\varpi_K \mathfrak{D}_K)^*$  defined by  $\omega_{K/F}$  via its natural identification with  $(\mathfrak{D}_F/\varpi_F \mathfrak{D}_F)^*$ .

To see this, let  $\tilde{\omega}_{K/F}$  denote either of the (two possible) extensions of  $\tilde{\omega}_{K/F}^0$  to  $K^*$ , and consider  $\mu := \chi/\tilde{\omega}_{K/F}$ . Then  $\mu$  defines a character of  $K^*/F^*(1 + \varpi_K^{f(\chi)} \mathfrak{D}_K)$ . The assertion is clear if  $f(\chi) = 1$ , and when  $f(\chi) > 1$ , it is a consequence of the fact that  $F^*(1 + \varpi_K^{2n} \mathfrak{D}_K) = F^*(1 + \varpi_K^{2n+1} \mathfrak{D}_K)$  for all positive  $n$ .

Let  $D$  be the unique quaternion division algebra over (the local field)  $F$  with reduced norm  $\text{Nrd}: D^* \rightarrow F^*$ . For every irreducible representation  $(\pi, X)$  of  $D^*$ , one knows (cf. [JL]) how to associate an epsilon factor  $\varepsilon(\pi, \psi, s)$ . One sets  $W(\pi, \psi) = \varepsilon(\pi, \psi, 1/2)$ . Denote by  $f(\pi)$  the exponent of the conductor of  $\pi$ , and by  $\omega_\pi$  the central character of  $\pi$ . If  $\mu$  is a character of  $F^*$ , we will write  $\pi \otimes \mu$  for the representation  $\pi \otimes (\mu \circ \text{Nrd})$ . If  $\pi^\vee$  denotes the contragredient (dual) of  $\pi$ , then it is isomorphic to  $\pi \otimes \omega_\pi^{-1}$ . When  $\pi$  is self-dual,  $W(\pi, \psi)$  is  $\pm 1$  and  $\omega_\pi$  is quadratic. The key theorem below follows by combining the main results of [J-L] and [K].

**Theorem 1.5.** There is a bijection  $\sigma \rightarrow \pi = \pi(\sigma)$  of the set of equivalence classes of continuous, irreducible 2-dimensional  $\mathbb{C}$ -representations of  $W_F$  onto the set of equivalence classes of continuous, irreducible  $\mathbb{C}$ -representations of  $D^*$  of dimension  $> 1$  (resp.  $\geq 1$ ) if  $F$  is non-archimedean (resp.  $F = \mathbb{R}$ ). This bijection satisfies

- (1)  $\det(\sigma) = \omega_\pi$ ;
- (2)  $f(\sigma) = f(\pi)$ ;
- (3)  $\pi(\sigma^\vee) \simeq \pi(\sigma)^\vee$ ; and
- (4)  $\varepsilon(\sigma \otimes \mu, \psi, s) = \varepsilon(\pi \otimes \mu, \psi, s)$ ,

for all characters  $\mu$  of  $F^*$ .

Note that under this bijection,  $\sigma$  is self-dual iff  $\pi$  is. Moreover, if  $\pi$  is the representation of  $D^*$  associated to a  $\sigma$  induced by a character  $\chi$  of a separable quadratic extension  $K/F$ , then  $\omega_\pi = \chi|_{F^*}\omega_{K/F}$ .

The following Proposition summarizes the information we need about the characters of irreducible representations  $\pi$  of  $D^*/F^*$ , for  $F$  non-archimedean. (There is a similar, but simpler, formula for  $F = \mathbb{R}$ , which we will not need; our treatment of that case will be more direct.) It can be deduced by combining the explicit character formulae for irreducible admissible representations  $\pi'$  of  $PGL(2, F)$  (see [Si], p.50-51) with the fact (see [J-L], Prop.15.5) that there is an injection  $\pi \rightarrow \pi'$  of the irreducible representations of  $D^*/F^*$  into the discrete series of  $PGL(2, F)$  preserving epsilon factors such that the characters of  $\pi$  and  $\pi'$  agree on the elliptic tori up to sign.

**Proposition 1.6.** *Let  $F$  be non-archimedean,  $K/F$  a quadratic extension and  $\pi = \pi_\chi$  be the representation of  $D^*/F^*$  attached to a character  $\chi$  of  $K^*$ . Then we have the following table*

$K/F$	$f(\chi)$	$\dim(\pi)$	$f(\pi)$
unramified	$f$	$2q^{f-1}$	$2f$
ramified	$2f$	$(q+1)q^{f-1}$	$2f+1$

Let  $L$  be any quadratic extension of  $F$ , and  $x$  the unique element of  $L^*/F^*$  of order 2. Denote by  $\Theta_\pi$  the character of  $\pi$ . Then we have:

- (1) If  $L \neq K$ ,  $\Theta_\pi(x) = 0$ .
- (2) If  $L = K$  and  $K/F$  unramified,  $\Theta_\pi(x) = (-1)^{f+1}2\chi(x)$
- (3) If  $L = K$  and  $K/F$  ramified,

$$\Theta_\pi(x) = -2G_\chi\omega(2)\omega(-1)^{f-1}\chi(x),$$

where

$$G_\chi = \frac{1}{\sqrt{q}} \sum_{x \in (\mathfrak{O}_F/\varpi_F)^*} \chi(1 + \varpi_K^{2f-1}x)\omega(x).$$

We will also need the following result on the toric restriction of representations of  $D^*$ .

**Proposition 1.7.** *Let  $F$  be non-archimedean,  $K/F$  a (separable) quadratic extension,  $\sigma$  an irreducible symplectic representation of  $W_F$  of dimension 2, and  $\pi$  the associated (irreducible) representation of  $D^*/F^*$ . Then*

- (1) The restriction of  $\pi$  to  $K^*$  is multiplicity free;
- (2) For a character  $\chi$  of  $K^*/F^*$  to occur in  $\pi|_{K^*}$ , it is necessary and sufficient that

$$W(\sigma|_{W_K} \otimes \chi^{-1}, \psi \circ \text{tr}_{K/F}) = -1.$$

For a proof of part (1), see [P1], Remark 3.5, and for part (2) (Tunnell's formula), see [Tu].

We conclude this section by recalling some basic facts about Stiefel-Whitney classes. For any compact group  $G$ , let  $\mathfrak{C}(G, \mathbb{R})$  denote the category of *real* representations of  $G$ . A continuous representation  $\sigma$  of  $G$  on a finite-dimensional vector space  $V$  is real iff it is realizable over  $\mathbb{R}$ . It is easy to see that a self-dual  $\sigma$  has a real character, and it is realizable over  $\mathbb{R}$  iff it is orthogonal. Denote by  $R(G, \mathbb{R})$  the Grothendieck group of virtual representations in  $\mathfrak{C}(G, \mathbb{R})$ , and by  $H^*(G, \mathbb{Z}/2)$  the  $\mathbb{Z}/2$ -cohomology ring  $\bigoplus_{i \geq 0} H^i(G, \mathbb{Z}/2)$  (with  $G$  acting trivially on  $\mathbb{Z}/2$ ). Then there is a Stiefel-Whitney homomorphism of groups (see [De1])

$$w_* : R(G, \mathbb{R}) \longrightarrow H^*(G, \mathbb{Z}/2)^\times,$$

which sends  $\sigma$  to  $\sum_{i \geq 0} w_i(\sigma)$ , with  $w_0(\sigma) = 1$  and  $w_1(\sigma)$  being the image of  $\det$  under the isomorphism  $\text{Hom}(G, \pm 1) \simeq H^1(G, \mathbb{Z}/2)$ . By construction, if  $\sigma_1, \sigma_2$  are virtual sums of real representations, then we have

$$(1.8) \quad w_2(\sigma_1 \oplus \sigma_2) = w_2(\sigma_1) + w_2(\sigma_2) + w_1(\sigma_1) \cup w_1(\sigma_2).$$

As in the introduction, we let  $\tilde{w}_i(\sigma)$  to be 1 or  $-1$  according as  $w_i(\sigma)$  is trivial or not.

If  $\sigma$  is a genuine real representation with trivial determinant, then  $w_2(\sigma)$  is the class of the extension of  $G$  by  $\{\pm 1\}$  obtained by pulling back via  $\sigma$  the short exact sequence

$$1 \rightarrow \{\pm 1\} \rightarrow \text{Spin}(V) \rightarrow \text{SO}(V) \rightarrow 1,$$

where  $\text{Spin}(V)$  is the Spin group of  $V$ , the non-trivial double cover of the special orthogonal group  $\text{SO}(V)$ ; thus  $\tilde{w}_2(\sigma)$  is trivial (in this case) iff  $\sigma$  can be lifted to a representation of  $G$  into the spin group.

Finally, we define, for any orthogonal  $(\sigma, V)$ , a homomorphism

$$\tilde{\sigma} : G \longrightarrow \text{SO}(V \oplus \mathbb{C})$$

by  $g \rightarrow (\sigma(g), \det(\sigma(g)))$ .

## 2. ORTHOGONALITY OF $\pi$

In view of the remark following Proposition A, it is a consequence of

**Proposition 2.1.** *Every finite dimensional representation of  $D^*/F^*$  is orthogonal.*

*Proof.* If  $x \mapsto \bar{x}$  denote the canonical anti-automorphism of  $D^*$  such that  $x \cdot \bar{x} = \text{Nrd}(x)$  where  $\text{Nrd}(x)$  is the reduced norm of  $x$ , then as an element of  $D^*/F^*$ ,  $\bar{x} = x^{-1}$ . By Skolem-Noether theorem,  $x$  and  $\bar{x}$  are conjugate, and therefore  $x$  is conjugate to  $x^{-1}$  in  $D^*/F^*$ . This implies that every representation of  $D^*/F^*$  is self-dual.

When  $F = \mathbb{R}$ ,  $D^*/F^*$  is isomorphic to  $\text{SO}(3)$ , and any irreducible representation  $\pi$  of this group is odd dimensional. (See Remark 2.5 below for an explicit description.) Clearly, every self-dual representation of odd dimension must be orthogonal. So we may (and we will) assume henceforth that  $F$  is non-archimedean.

We can find a quadratic extension  $E$  of  $F$  such that the trivial character of  $E^*$  appears in  $X$ . In fact we have

**Lemma 2.2.** *Let  $\pi$  be associated to a character  $\chi$  on a quadratic extension  $K$  of  $F$ , so that the corresponding representation  $\sigma$  of  $W_F$  is  $\text{Ind}_{W_K}^{W_F}(\chi)$ . Then if  $K$  is ramified,  $\pi$  contains the trivial character of the unramified quadratic extension, while if  $K$  is unramified, then  $\pi$  contains the trivial character of any ramified quadratic extension.*

Indeed, for any quadratic extension  $E/F$ , one knows that by part (2) of Proposition 1.7, the trivial representation of  $E^*$  occurs iff we have

$$(2.3) \quad W(\sigma|_{W_E}, \psi \circ \text{tr}_{E/F}) = W(\pi, \psi)W(\pi \otimes \omega_{E/F}, \psi)\omega_{E/F}(-1) = -1.$$

When  $E = K$ ,  $\pi \otimes \omega_{E/F} \simeq \pi$ . Since  $W(\sigma, \psi) = \pm 1$ , the trivial character of  $K^*$  occurs in  $\pi$  iff  $\omega_{K/F}(-1) = -1$ , which happens iff  $K/F$  is ramified and  $q \equiv 3$  modulo 4. If  $K/F$  is ramified, but with  $q \equiv 1$  modulo 4, take  $E$  to be the unramified quadratic extension. Then  $\omega_{E/F}$  is an unramified character, and by Prop.1.1 (and Theorem 1.5),

$$(2.4) \quad W(\pi \otimes \omega_{E/F}, \psi) = \omega_{E/F}(\mathfrak{f}(\pi))W(\pi)W(\omega_{E/F})^2 = -W(\pi).$$

The second equality comes from the fact (cf. Proposition 1.6) that  $\mathfrak{f}(\pi)$  is odd when  $K/F$  is ramified. Combining (2.4) with (2.3), we see that the trivial character of  $E^*$  occurs in  $\pi$ .

Finally, let  $K$  be unramified. Take  $E$  to be either of the two ramified extensions of  $F$ . Then we claim that

$$(2.5) \quad W(\pi \otimes \omega_{E/F}) = -\omega_{E/F}(-1)W(\pi).$$

Indeed, if  $\pi$  corresponds to the representation  $\sigma = \text{Ind}_{W_K}^{W_F}(\chi)$ , then the additivity and inductivity in dimension zero of the epsilon factors gives  $W(\pi \otimes \omega_{E/F}, \psi)/W(\pi, \psi) = W(\chi\mu, \psi_K)/W(\chi, \psi_K)$ , where  $\mu = \omega_{E/F} \circ N_{K/F}$  is the unique nontrivial quadratic character of  $K^*/F^*$ . Let  $\nu$  be the unramified character of  $K^*$  taking the value  $-1$  on any uniformizing parameter  $\varpi_K$ . Then by construction,  $\nu$  restricts to  $\omega_{K/F}$  on restriction to  $F^*$ ; so do the characters  $\chi$  and  $\chi\mu$  as the determinant of  $\text{Ind}_{W_K}^{W_F}(\chi)$  is trivial. On the other hand, for any character  $\beta$  of  $K^*$ , we have by Proposition 1.1:  $W(\beta\nu, \psi_K) = \nu(\mathfrak{f}(\beta))W(\beta, \psi_K)W(\nu, \psi_K)$ , where  $\psi_K = \psi \circ \text{tr}_{K/F}$ . This gives  $W(\chi\mu, \psi_K)/W(\chi, \psi_K) = W(\chi\mu\nu, \psi_K)/W(\chi\nu, \psi_K)$ , which, by Theorem 1.3, equals  $\chi\mu\nu(t)/\chi\nu(t) = \mu(t)$ , where  $t$  is the unique element of order 2 in  $K^*/F^*$ . Using the fact that we may represent  $t$  in  $K^*$  by the square-root of a unit  $u$  in  $\mathfrak{O}_F^*$  which is a non-square in the residue field  $\mathbb{F}_q$ , one sees that  $\mu(t)$  is  $-1$  (resp.  $1$ ) when  $q$  is  $1$  (resp.  $3$ ) modulo 4, which is the negative of the value of  $\omega_{E/F}$  at  $-1$ . Hence the claim. The Lemma now follows by combining (2.3) and (2.5).

Let  $E/F$  be as in the Lemma. Then, since the restriction of  $\pi$  to  $E^*$  is multiplicity-free (see Proposition 1.7, part (1)), the eigenspace of  $X$  corresponding to the trivial character of  $E^*$  must in particular be one-dimensional. The unique non-degenerate bilinear form on  $X$  must be non-zero on this one-dimensional subspace, and therefore the bilinear form must be symmetric, whence the Proposition.  $\square$

*Remark 2.6.* It should be noted that self-dual representations  $\pi$  of  $D^*$  not factoring through  $D^*/F^*$  need not be orthogonal. To see this let  $F = \mathbb{R}$  and  $\pi = \rho \otimes \det(\rho)^{-1/2}$ , where  $\rho$  is the standard two-dimensional  $\mathbb{C}$ -representation of  $D^*$ . Then the symmetric square of  $\pi$  is irreducible.

The proof of Proposition 2.1 shows more generally that a self-dual irreducible representation  $(\eta, Y)$  of a group  $G$  must be orthogonal if we can find a subgroup  $H$  such that the restriction to  $H$  is completely reducible and contains the trivial representation of  $H$  with multiplicity one. One gets the following

**Proposition 2.7.** *Every irreducible, admissible, self-dual, generic representation  $(\eta, Y)$  of  $GL(n, F)$ ,  $F$  non-archimedean, is orthogonal for any  $n \geq 1$ .*

Indeed, the theory of new vectors for generic representations of  $GL(n, F)$  (cf. [J-PS-S]) gives the existence of an open compact subgroup  $C$  such that the space of  $C$ -invariant vectors in  $Y$  is one-dimensional. The restriction of  $\eta$  to  $C$  is completely reducible by admissibility.

Note that since every discrete series representation is generic, this Proposition applies in particular to any representation of  $GL(2, F)$  associated to an irreducible representation  $(\pi, X)$  of  $D^*$  by the Jacquet-Langlands correspondence.

### 3. CRITERIA FOR LIFTABILITY

Let  $\Sigma(F)$  denote the set of quadratic extensions of  $F$  in  $\overline{F}$ . The object of this section is to show that for any virtual sum  $\sigma$  of orthogonal representations of  $D^*/F^*$ , the second Stiefel-Whitney number  $\tilde{w}_2(\sigma)$  is 1 iff it is so when restricted to  $K^*/F^*$ , for every  $K \in \Sigma(F)$ . In fact we have

**Proposition 3.1.** *The natural homomorphism (given by restriction)*

$$H^2(D^*/F^*, \mathbb{Z}/2) \longrightarrow \bigoplus_{K \in \Sigma(F)} H^2(K^*/F^*, \mathbb{Z}/2)$$

*is injective.*

*Proof.* First consider the case  $F = \mathbb{R}$ . One has a natural isomorphism  $H^2(D^*/\mathbb{R}^*, \mathbb{Z}/2) \simeq \text{Hom}(\pi_1(D^*/\mathbb{R}^*), \mathbb{Z}/2)$ . Hence it suffices to establish the surjectivity at the fundamental group level, i.e.,  $\pi_1(\mathbb{C}^*/\mathbb{R}^*) \twoheadrightarrow \pi_1(D^*/\mathbb{R}^*)$ . This is clear via the identifications of  $\mathbb{C}^*/\mathbb{R}^*$  and  $D^*/\mathbb{R}^*$  with  $\text{SO}(2)$  and  $\text{SO}(3)$  respectively.

Now let  $F$  be non-archimedean, and denote by  $U_D^1$  the image in  $D^*/F^*$  of the first congruence subgroup of  $D^*$  under the standard filtration. Then since the residue characteristic of  $F$  is odd,  $H^i(U_D^1, \mathbb{Z}/2) = 0$  if  $i > 0$ . It follows that  $H^2(D^*/F^*, \mathbb{Z}/2) = H^2(D^*/F^*U_D^1, \mathbb{Z}/2)$ . Now  $D^*/F^*U_D^1$  is a dihedral group defined by the extension

$$0 \rightarrow \mathbb{F}_q^*/\mathbb{F}_q \rightarrow D^*/F^*U_D^1 \rightarrow \mathbb{Z}/2 \rightarrow 0,$$

where  $\mathbb{F}_q$  is the residue field of  $F$ .

Let  $D_r$  be the quotient of  $D^*/F^*U_D^1$  by its maximal subgroup of odd order. Then  $H^2(D^*/F^*, \mathbb{Z}/2)$  is the same as  $H^2(D_r, \mathbb{Z}/2)$ , and  $D_r$  is a dihedral 2-group given by

$$0 \rightarrow \mathbb{Z}/2^r \rightarrow D_r \rightarrow \mathbb{Z}/2 \rightarrow 0.$$



Clearly  $\mathbb{Z}/2 \oplus \mathbb{Z}/2 \subseteq D_r$ , and one sees that  $H^2(D_r, \mathbb{Z}/2) \cong H^2(\mathbb{Z}/2 \oplus \mathbb{Z}/2, \mathbb{Z}/2)$ , and an element of  $H^2(\mathbb{Z}/2 \oplus \mathbb{Z}/2, \mathbb{Z}/2)$  is zero if and only if its restriction to all the three  $\mathbb{Z}/2$ 's in  $\mathbb{Z}/2 \oplus \mathbb{Z}/2$  is zero. These three  $\mathbb{Z}/2$ 's come from the three distinct quadratic extensions, whence the proposition.

**Lemma 3.2.** *Let  $SO(2n+1, \mathbb{C})$  correspond to the quadratic form  $q = x_1x_2 + \dots + x_{2n-1}x_{2n} + x_{2n+1}^2$ , and  $T$  the associated maximal torus. For characters  $(\chi_1, \dots, \chi_n)$  of an abelian group  $G$ , let  $\phi$  be the representation of  $G$  with values in  $SO(2n+1, \mathbb{C})$  given by  $x \mapsto (\chi_1(x), \chi_1^{-1}(x), \chi_2(x), \chi_2^{-1}(x), \dots, \chi_n(x), \chi_n^{-1}(x), 1)$ .*

*Then the representation  $\phi$  of  $G$  lifts to  $\text{Spin}(2n+1, \mathbb{C})$  if and only if  $\prod_{i=1}^n \chi_i = \mu^2$*

*for some character  $\mu$  of  $G$ , i.e. if and only if  $\prod_{i=1}^n \chi_i$  is trivial on the subgroup*

$$G[2] = \{g \in G \mid 2g = 1\}.$$

*Proof.* The assertion is a direct consequence of the fact that the spin covering of  $SO(2n+1, \mathbb{C})$  when restricted to the maximal torus  $T = \{(z_1, z_1^{-1}, z_2, z_2^{-1}, \dots, z_n, z_n^{-1}, 1) \mid z_i \in \mathbb{C}^*\}$  is the two-fold cover of  $T$  obtained by attaching  $\sqrt{\prod_{i=1}^n z_i}$ .

#### 4. FORMULAE FOR TORIC RESTRICTIONS

In this section  $F$  will always be non-archimedean, and  $(\pi, X)$  an irreducible representation of  $D^*$ , trivial on  $F^*$ , of dimension  $> 1$  and character  $\Theta_\pi$ .

Let  $E = F(x)$  be a separable quadratic extension. with  $x^2 \in F^*$ . Clearly  $x = x(E)$  is the unique element of  $E^*/F^*$  of order 2.

By Skolem-Noether theorem, there is an element  $g$  in  $D^*/F^*$  such that the inner conjugation action of  $g$  on  $K^*$  preserves  $K^*$  and induces the non-trivial Galois action on it. It follows that whenever a character  $\mu$  of  $K^*$  appears in  $\pi$ , so does  $\mu^{-1}$ . The (multiplicity-free) restriction of  $\pi$  to  $E^*$  may then be decomposed as

$$X = \sum_{\mu \in S} \mu \oplus \sum_{\mu \in S} \mu^{-1} \oplus a \cdot 1 \oplus b \cdot \nu \quad (i)$$

where  $a = a(E)$  and  $b = b(E)$  are integers  $0 \leq a, b \leq 1$ ,  $\nu = \nu(E)$  is the unique character of  $E^*/F^*$  of order 2, and  $S = S(E)$  a finite set of characters of  $E^*/F^*$  of order  $\geq 3$ . Since the dimension of  $X$  is even (cf. Prop. 1.6),  $a(E) = b(E)$ . Recall that  $\nu(x) = -1$  except when  $E$  is a quadratic unramified extension of  $F$  with  $q \equiv 3 \pmod{4}$ , in which case  $\nu(x) = 1$ .

**Lemma 4.1.** *Let  $s = s(E)$  denote the number of characters  $\mu$  in  $S(E)$  which take the value  $-1$  on  $x$ . Define  $\delta_q = \delta_q(E)$  to be 1 if  $E$  is unramified with  $q$  congruent to 3 modulo 4, and to be 0 otherwise. Then*

$$\dim(X) = 4s + 2a(1 - 2\delta_q) + \Theta_\pi(x). \quad (ii)$$

Moreover,  $a(E)$  is  $> 0$  in exactly the following cases:

- (1)  $E$  is unramified and  $\pi$  is not associated to  $E$ ;
- (2)  $E$  is ramified and  $\pi$  is unramified;
- (3)  $E$  is ramified,  $\pi$  is associated to  $E$ , and  $q$  is congruent to 3 modulo 4;  
and
- (4)  $E$  is ramified,  $\pi$  is ramified, but not associated to  $E$ , and  $q \equiv 1 \pmod{4}$ .

*Proof.* Let  $r = r(E)$  denote the number of characters  $\mu$  in  $S(E)$  which take the value 1 at  $x$ . (Since  $x$  has order 2 in  $E^*/F^*$ , every character of  $E^*$  trivial on  $F^*$  is  $\pm 1$  at  $x$ .) Evaluating the trace of  $\pi|_{E^*/F^*}$  at 1 and  $x$  respectively, we get

$$\dim(X) = 2(r + s) + 2a$$

and

$$\Theta_\pi(x) = 2(r - s) + 2a\delta_q,$$

whence the assertion on the dimension of  $X$ .

Since  $a = a(E)$  is the multiplicity of the trivial representation in the restriction of  $\pi$  to  $E^*$ , the occurrence of cases (1), (2) and (3) is contained in Lemma 2.2. Suppose we are in case (4) with  $E$  ramified,  $q$  congruent to 1 modulo 4, and  $\pi$  associated to a character  $\chi$  of the other ramified quadratic extension  $L$ , say. Then  $\omega_{E/F}(-1) = 1$ , and we have to show that  $W(\pi) = -W(\pi \otimes \omega_{E/F})$ . Noting that the pull back of  $\omega_{E/F}$  to  $L^*$  is the unique character  $\nu = \nu(L)$  of order 2, which is unramified, and that  $\mathfrak{f}(\chi)$  is even (see Prop. 1.6), we get  $W(\pi \otimes \omega_{E/F}, \psi)/W(\pi, \psi) = W(\text{Ind}_{W_L}^{W_F}(\chi\nu \ominus \chi), \psi_L) = W(\chi\nu, \psi_L)/W(\chi, \psi_L) = W(\nu, \psi_L) = \nu(x(L))$ , which is indeed  $-1$ . It is also now evident that there are no further cases when the trivial representation occurs.  $\square$

**Proposition 4.2.** *Let  $\pi$  be an irreducible representation of  $D^*/F^*$  with values in  $O(X)$  associated to a quadratic extension  $K$  of  $F$ , and let  $L$  be a quadratic extension of  $F$  different from  $K$ . Let  $f$  denote  $\mathfrak{f}(\pi)$ . Then the restriction to  $L^*/F^*$  of the associated representation  $\tilde{\pi}$  with values in  $SO(X \oplus \mathbb{C})$  lifts to  $\text{Spin}(X \oplus \mathbb{C})$  if and only if  $\omega(-2) = -1$  if  $K$  is a ramified extension, and  $\omega(-1)^{f-1} = -1$  if  $K$  is the unramified extension.*

*Proof.* By Lemma 3.2, the restriction of  $\pi$  to  $L^*/F^*$  lifts to  $\text{Spin}(X \oplus \mathbb{C})$  if and only if

$$\left( \nu^a \cdot \prod_{\mu \in X} \mu \right) (x) = 1. \quad (iii)$$

As  $x$  has order 2 in  $L^*/F^*$ , all the characters of  $L^*/F^*$  take the value  $\pm 1$  on  $x$ . Let  $r$  be the number of characters  $\mu$  from  $X$  such that  $\mu(x) = 1$ , and let  $s$  be the number of characters  $\mu$  from  $X$  such that  $\mu(x) = -1$ . By Proposition 1.4, the character of  $\pi$  at  $x$  is zero. Therefore we get (by Lemma 4.1)

$$\dim(X) = 4s + 2(a - \delta_q). \quad (iv)$$

We also have

$$\tilde{w}_2(\tilde{\pi}|_{L^*/F^*}) = (-1)^s \nu(x)^a. \quad (v)$$

**Proposition 4.3.** *We have the following table for  $L \neq K$  and  $q = 2m + 1$ :*

$K/F$	$\tilde{w}_2(\tilde{\pi} _{L^*/F^*})$
unramified	$(-1)^{1+m(f-1)}$
ramified	$(-1)^{\lfloor 1 + \frac{m}{2} \rfloor}$

where  $[t]$  denotes, for any  $t \in \mathbb{R}$ , the integral part of  $t$ .

*Proof.* By Proposition 1.6, the dimension of  $\pi$  is  $2q^{f-1}$  (resp.  $(q+1)q^{f-1}$ ) when  $K$  is unramified (resp. ramified), where  $f$  (resp.  $2f$ ) denotes  $f(\chi)$ . Let us first consider the unramified case. Then  $\delta_q$  is zero as  $L$  is ramified, and by equation (iv) above,  $4s + 2a = 2q^{f-1}$ . Since  $a \in \{0, 1\}$ , we must have  $a = 1$ . (This also follows from Lemma 4.1.) Moreover,

$$s = \frac{1}{2}((2m+1)^{f-1} - 1) = \frac{1}{2} \sum_{j=1}^{f-1} \binom{f-1}{j} (2m)^j \equiv m(f-1) \pmod{2}$$

which yields the assertion, thanks to equation (v).

Now let  $K/F$  be ramified. Then we know by Lemma 4.1 that  $a$  is 1 if either  $L$  is ramified or if  $L$  is ramified with  $q = 2m + 1$  congruent to 1 modulo 4; it is 0 otherwise. Thus when  $m$  is even (resp. odd),  $a(1 - \delta_q)$  is 1 (resp. 0), and by equation (iv),  $s$  is congruent modulo 2 to  $\frac{m}{2}$  (resp.  $\frac{m+1}{2}$ ). Furthermore, since  $a = 0$  when  $m$  is odd,  $\nu(x)^a = 1$  in that case. Applying (v) we see that  $\tilde{w}_2(\pi|_{L^*/K^*})$  equals  $(-1)^{\frac{m}{2}+1}$  (resp.  $(-1)^{\frac{m+1}{2}}$ ) when  $m$  is even (resp. odd). This finishes the proof of Proposition 4.3.

Proposition 4.2 follows directly from this once we note that  $\omega(-1) = (-1)^m$  and that  $\omega(-2) = 1$  iff  $q = 2m + 1$  is congruent modulo 8 to 5 or 7.

We next consider the lifting problem for a representation  $\pi$  of  $D^*/F^*$  associated to a quadratic field  $K$  when restricted back to  $K^*/F^*$ . In this case the obstruction to lifting is related to the epsilon factor of  $\pi$ .

**Proposition 4.4.** *Let  $\pi$  be an irreducible representation of  $D^*/F^*$  with values in  $O(X)$  associated to a character  $\chi$  of  $K^*$ , where  $K$  is a (separable) quadratic extension of  $F$ . Then the restriction to  $K^*/F^*$  of the associated representation  $\tilde{\pi}$  with values in  $SO(X \oplus \mathbb{C})$  lifts to  $\text{Spin}(X \oplus \mathbb{C})$  if and only if  $\varepsilon(\pi) = -\omega(2)$  if  $K$  is ramified and the conductor of the representation  $\pi$  is  $2f + 1$ , and  $\varepsilon(\pi) = \omega(-1)^{f-1}$  if  $K$  is unramified and the conductor of  $\pi$  is  $2f$ .*

*Proof.* The reasoning here is very similar to that above, and the assertion is an immediate consequence of the following

**Proposition 4.5.** *Write  $q = 2m + 1$ . Then we have the following table:*

$K/F$	$\tilde{w}_2(\tilde{\pi} _{K^*/F^*})$
unramified	$(-1)^{m(f-1) + \frac{1}{2}(1-W(\pi))}$
ramified	$(-1)^{[(m+1)/2] + \frac{1}{2}(1+W(\pi))}$

*Proof.* Again, let  $x$  denote the unique element of order 2 in  $K^*/F^*$ . When  $K$  is ramified,  $x$  is the image of a uniformizing parameter  $\varpi_K$  such that  $\varpi = \varpi_K^2 \in F^*$ . Recall that by Proposition 1.4, we have

$$\Theta_\pi(x) = -2G_\chi \omega(2) \omega(-1)^{f-1} \chi(x),$$

when  $K/F$  is ramified, and

$$\Theta_\pi(x) = (-1)^{f-1} 2\chi(x),$$

when  $K/F$  is unramified. Substituting the formula for  $\dim(X)$ , we get by Lemma 4.1,

$$4s + 2a = (q + 1)q^{f-1} + 2G_\chi \omega(2)\omega(-1)^{f-1}\chi(x) \quad (vi)$$

when  $K/F$  is ramified, and

$$4s = 2q^{f-1} + (-1)^{f-1}2\chi(x) \quad (vii)$$

when  $K/F$  is unramified.

To establish Proposition 4.5, we now need to relate the character value at  $x$  to the epsilon factor. To begin, since the representation  $\sigma$  of  $W_F$  associated to  $\pi$  is induced from the character  $\chi$  of  $K^*$ , the additivity of epsilon factors gives us the following:

$$\varepsilon(\pi) = \varepsilon(\text{Ind}_{K^*}^{W_F} \chi, \psi_F) = \varepsilon(\text{Ind}_{K^*}^{W_F} (\chi - 1), \psi_F) \cdot \varepsilon(\text{Ind}_{K^*}^{W_F} 1, \psi_F)$$

We get by the inductivity (in dimension 0) of epsilon factors,

$$W(\pi, \psi) = W(\chi, \psi_K)W(\omega_{K/F}, \psi). \quad (viii)$$

Choose a (quasi) character  $\beta$  of  $K^*$  which extends  $\omega_{K/F}$ . Then  $\chi\beta$  is trivial on  $F^*$ , and we get by Theorems 1.2 and 1.3,

$$W(\pi, \psi) = W(\chi\beta, \psi_K)\beta(y)W(\omega_{K/F}, \psi) = (\chi\beta)(x)\beta(y)W(\omega_{K/F}, \psi), \quad (ix)$$

where  $y$  is the element of  $K^*$  with the property:

$$\chi\beta(1+x) = \psi_K(xy) \quad \text{for all } x \text{ with } \text{val}(x) \geq \frac{1}{2}f(\chi).$$

**Lemma 4.6.** *We have the following table:*

$K/F$	$W(\pi)$
unramified	$(-1)^f \chi(x)$
ramified	$\omega(2)\omega(-1)^{f+1}G_\chi \chi(x)$

*Proof of Lemma.* First we make the choice of  $\beta$  explicit as follows. When  $K$  is unramified, take  $\beta$  to be the unramified character of  $K^*$  which takes the value  $-1$  at any uniformizer (say  $\varpi_K$ ) of  $K$ . When  $K$  is ramified, take  $\beta$  to be the character  $\tilde{\omega}_{K/F}$  defined in the proof of Lemma 1.4. Note that  $f(\beta)$  is 0 when  $K$  is unramified, and equals 1 when  $K$  is ramified.

First consider the unramified case. Then, since  $\omega_{K/F}$  is unramified, our choice of  $\psi$  in §1 implies that  $W(\omega_{K/F})$  is trivial, and thus (iii) gives  $W(\pi, \psi) = W(\chi, \psi_K)$ . Moreover, since  $\beta$  is unramified, we get (by Prop.1.1 or by using (ix))

$$W(\chi\beta, \psi_K) = W(\chi, \psi_K)\beta(\varpi_K^f)W(\beta, \psi_K),$$

which equals  $(-1)^f W(\chi, \psi_K)$  as  $\beta(\varpi_K) = -1$ . Thus  $W(\pi, \psi) = (-1)^f W(\chi\beta, \psi_K)$ . But the theorem of Frohlich and Queyrut (Thm.1.3) says that

$W(\chi\beta, \psi_K)$  is  $\chi\beta(x)$ . Clearly,  $\beta(x) = 1$  as  $x$  is a unit and  $\beta$  unramified. The assertion of the Lemma follows for  $K/F$  unramified.

Next we consider the ramified case, which is more subtle. Let  $y$  be as in equation (ix). Then we can write:  $y = \varpi_K^{-(2f+1)}a_0(\chi) +$  higher order terms. It follows that

$$\chi(1 + \varpi_K^{2f-1}x) = \psi_K(\varpi_F^{-1}a_0(\chi)x).$$

From the definition of epsilon factors ([T]),

$$\sum_{x \in (\mathfrak{O}_F/\varpi)^*} \omega(x)\psi_F(\varpi_F^{-1}x) = \sqrt{q}\omega_{K/F}(\varpi)W(\omega_{K/F}, \psi),$$

and therefore

$$\sum_{x \in (\mathfrak{O}_F/\varpi)^*} \omega(x)\chi(1 + \varpi_K^{2f-1}x) = \sqrt{q}\omega_{K/F}(2a_0(\chi)\varpi_F)W(\omega_{K/F}, \psi).$$

Comparing with the definition of  $G_\chi$ , we get

$$G_\chi = \omega_{K/F}(2a_0(\chi)\varpi_F)W(\omega_{K/F}, \psi).$$

Thus by equation (ix),  $W(\pi, \psi)$  is given by

$$(\chi\beta)(\varpi_K)\beta(\varpi_K^{-(2f+1)}a_0(\chi))W(\omega_{K/F}, \psi) = \chi(\varpi_K)\omega_{K/F}(\varpi_F^{-f}a_0(\chi))W(\omega_{K/F}, \psi),$$

which equals  $\chi(\varpi_K)\omega(2)\omega(-1)^{f+1}G_\chi$  as  $\omega_{K/F}(\varpi_F) = \omega(-1)$ . Hence the Lemma.  $\square$ .

*Proof of Prop.4.5 (contd.)* Combining this Lemma with equation (vi), we get, for  $K/F$  ramified,

$$4(s+a) = (q+1)q^{f-1} + 2a + 2W(\pi, \psi). \quad (viii)$$

As  $K$  is ramified,  $\nu(x) = -1$ , and we have (by (v))  $\tilde{w}_2(\tilde{\pi}|_{K^*/F^*}) = (-1)^{s+a}$ . We know by Lemma 4.1 that, for  $K$  ramified,  $m$  is even iff  $a = 0$ . Suppose  $m = 2k$ , for some  $k \in \mathbb{N}$ . Then

$$s+a = k(4k+1)^{f-1} + \frac{1}{2} \left\{ \sum_{j=0}^{f-1} \binom{f-1}{j} (4k)^j + W(\pi) \right\},$$

which is congruent modulo 2 to  $k + \frac{1}{2}(1 + W(\pi))$ . If  $m = 2k - 1$ , then we see that

$$s+a = k(4k-1)^{f-1} + \frac{1}{2}(1 + W(\pi)),$$

which is congruent modulo 2 to  $k + \frac{1}{2}(1 + W(\pi))$ .

This proves Proposition 4.5 in the ramified case. Suppose  $K$  is unramified. Then we have by equation (vii) and Lemma 4.6,

$$2s = q^{f-1} - 2W(\pi).$$

Setting  $q = 2m + 1$ , we get

$$s = \frac{1}{2} \sum_{j=1}^{f-1} \binom{f-1}{j} (2m)^j + (1 - W(\pi)),$$

which is congruent modulo 2 to  $(f-1)m + \frac{1}{2}(1 - W(\pi))$ . Applying equation (iii) and the fact that  $a = 0$  (cf. Lemma 4.1), we see that  $\tilde{w}_2(\pi|_{K^*/F^*})$  equals  $W(\pi)$  or  $-W(\pi)$  depending on whether  $(f-1)m$  is even or odd.  $\square$ .

## 5. THE MAIN RESULT

Propositions 4.2 and 4.4 can now be combined to give Theorem C.

We now begin the proof of Theorem B. First note that, since the virtual representation  $\pi \ominus \pi'$  has by hypothesis determinant 1, we have by (1.8),

$$w_2(\tilde{\pi}) = w_2(\tilde{\pi} \ominus \tilde{\pi}') + w_2(\tilde{\pi}') = w_2(\pi \ominus \pi') + w_2(\tilde{\pi}').$$

This implies, by Proposition 3.1, that

$$(5.1) \quad \tilde{w}_2(\pi \ominus \pi') = 1 \quad \text{IFF} \quad w_2(\tilde{\pi})|_{E^*} = w_2(\tilde{\pi}')|_{E^*}, \quad \forall E \in \Sigma(F).$$

**Lemma 5.2.** *Let  $F$  be non-archimedean and  $\pi$  an irreducible representation of  $D^*$  of dimension  $> 1$  and trivial central character. Then we have*

$$\det(\pi) = \omega_{L/F} \circ Nrd,$$

where  $L$  is a separable quadratic extension chosen as follows:  $L = K$  if  $K/F$  unramified or if  $F$  is non-archimedean with  $q \equiv 1$  modulo 4. If  $F$  is non-archimedean with  $q \equiv 3$  modulo 4 and  $K/F$  ramified,  $L$  is the other ramified quadratic extension. In particular, any such  $\pi$  is associated to a unique (separable) quadratic extension.

*Proof.* Since the kernel of  $Nrd$  is the commutator subgroup of  $D^*$ , we can write  $\det(\pi)$  as  $\mu \circ Nrd$ , for a character  $\mu$  of  $F^*$ . Since  $\pi$  is self-dual, its determinant has order dividing 2, and by class field theory,  $\mu$  is either trivial or  $\omega_{E/F}$ , for a quadratic extension  $E/F$ . Let  $K$  be a (separable) quadratic extension of  $F$  such that  $\pi$  is associated to a character  $\chi$  of  $K^*$ . Let us first consider the non-archimedean case. For any  $E \in \Sigma(F)$ , the decomposition of  $(\pi, X)$  given by (i) (of §4) implies that

$$\det(\pi|_{E^*/F^*}) = 1 \quad \text{IFF} \quad a(E) = 0,$$

in which case  $\mu$  is trivial on the norm subgroup  $NE^*$ . Suppose  $K$  is unramified or ramified with  $q$  congruent to 1 modulo 4. Then by Lemma 4.1,  $a(K) = 0$ , and  $a(L) = 1$  for either of the remaining extensions  $L$  in  $\Sigma(F)$ . So  $\mu$  is trivial on  $NK^*$ , but not on  $NL^*$ . Hence  $\mu = \omega_{K/F}$ . Similarly, when  $K$  is ramified with  $q$  congruent to 3 modulo 4,  $a(E) = 0$  for the other ramified quadratic extension  $E$ , and  $a(L) = 1$  for  $L$  different from  $E$ . Thus  $\beta = \omega_{E/F}$  as claimed.

The expression for the determinant immediately gives the assertion about the uniqueness of  $K$  given such a  $\pi$ . (This can also be seen directly by first showing that if  $\pi$  is associated to more than one quadratic extension of  $F$ , then it is associated to all the three quadratic extensions, which contradicts the formula for  $\dim(\pi)$  (Proposition 1.6). Note that  $\pi$  can be associated to more than one extension if either  $\omega_\pi$  is non-trivial or if the residual characteristic is 2.)  $\square$

Let  $\pi, \pi'$  be as in Theorem B. Then, since  $\det(\pi)$  equals  $\det(\pi')$ , we see by the Lemma above that there is a unique  $K \in \Sigma(F)$  such that  $\pi$  and  $\pi'$  are both associated to characters  $\chi$  and  $\chi'$  of  $K^*$ .

Combining Propositions 4.3 and 4.5, we get

**Proposition 5.3.** *Let  $\pi, \pi'$  be as above, associated to characters  $\chi, \chi'$  of a quadratic extension  $K/F$ . Then we have the following table for  $F$  non-archimedean with residue field of  $q = 2m + 1$  elements, and for  $L \neq K$  quadratic over  $F$ :*

	$K/F$	$\tilde{w}_2(\tilde{\pi} _{K^*/F^*})/\tilde{w}_2(\tilde{\pi}' _{K^*/F^*})$	$\tilde{w}_2(\tilde{\pi} _{L^*/F^*})/\tilde{w}_2(\tilde{\pi}' _{L^*/F^*})$
unramified		$(-1)^{m(f(\chi)-f(\chi'))+\frac{1}{2}(W(\pi)-W(\pi'))}$	$(-1)^{m(f(\chi)-f(\chi'))}$
ramified		$(-1)^{\frac{1}{2}(W(\pi)-W(\pi'))}$	1

*Proof.* The assertion is an immediate consequence of Propositions 4.3 and 4.5.  $\square$

When  $K$  is ramified, then  $f(\pi)$  and  $f(\pi')$  are both odd (see Prop.1.5), and  $s(\pi) = s(\pi') = 0$ . When  $K$  is unramified,  $f(\pi) = 2f(\chi)$  and  $f(\pi') = 2f(\chi')$ ; thus  $s(\pi) = mf(\chi)$  and  $s(\pi') = mf(\chi')$ . Since  $s(\pi)$  and  $s(\pi')$  are assumed to have the same parity, Theorem *B* now follows (for  $F$  non-archimedean) by appealing to (5.1). Note also that, when  $\pi \ominus \pi'$  has determinant 1, the conductors of  $\pi$  and  $\pi'$  are both even or both odd as they are associated to the same quadratic extension  $K$ ; when they are even, then  $K$  must be unramified. If moreover,  $\pi \ominus \pi'$  has dimension zero (modulo 2), then as claimed in the introduction,  $s(\pi)$  and  $s(\pi')$  have the same parity.  $\square$

An immediate consequence of Prop. 5.3 and (5.1) is the following variant of Theorem *B*:

**Proposition 5.4.** *Let  $F$  be a non-archimedean local field of odd residual characteristic. Suppose  $\sigma, \sigma'$  are two-dimensional, irreducible, symplectic representations of  $W_F$  such that the associated representations  $\pi, \pi'$  of  $D^*$  have the same determinant. Then*

$$W(\sigma \ominus \sigma') = (-1)^{s(\pi)-s(\pi')} \prod_{E \in \Sigma(F)} \tilde{w}_2((\pi \ominus \pi')|_{E^*/F^*}).$$

It remains to treat the case  $F = \mathbb{R}$ . As noted before,  $D^*/\mathbb{R}^*$  identifies with  $\mathrm{SO}(3)$ , and its irreducible representations are parametrized by their dimension, which must be odd. For every integer  $k \geq 0$ , the unique irreducible  $\pi_{2k+1}$ , say, of dimension  $2k + 1$  corresponds to the symmetric  $2k$ -th power representation of the standard representation of  $\mathrm{SU}(2)$ . It is easy to see that the image of  $\pi_{2k+1}$  lands in  $\mathrm{SO}(2k + 1)$ . Define

$$\lambda : \mathbb{Z}/4 \times \mathbb{Z}/4 \rightarrow \{\pm 1\}$$

by sending  $(a, b)$  to 1 iff either  $(a, b)$  or  $(b, a)$  is of one of the following types: (i)  $(a, a)$ ; (ii)  $(1, 2)$ ; and (iii)  $(3, 0)$ . If  $k, \ell$  are integers with images  $\bar{k}, \bar{\ell}$  in  $\mathbb{Z}/4$ , we will write  $\lambda(k, \ell)$  for  $\lambda(\bar{k}, \bar{\ell})$ .

**Proposition 5.5.** *Let  $\sigma, \sigma'$  be irreducible, 2-dimensional, symplectic representations of  $W_{\mathbb{R}}$  such that the associated representations  $\pi, \pi'$  of  $D^*$  have dimensions  $2k + 1, 2\ell + 1$  respectively. Then we have*

$$W(\sigma \ominus \sigma') = \lambda(k, \ell) \tilde{w}_2(\pi \ominus \pi').$$

*Proof.* Every irreducible two-dimensional self-dual representation of  $W_{\mathbb{R}}$  is of the form  $\sigma_m = \text{Ind}_{\mathbb{C}^*}^{W_{\mathbb{R}}}(\chi_m)$ , with  $\chi_m(z) = (z/|z|)^m$ , for  $m \geq 1$ . Since  $\det(\sigma_m) = \text{sgn}^{m+1}$ , it is symplectic iff  $m$  is odd. The Langlands correspondence pairs  $\sigma_{2k+1}$  with  $\pi_{2k+1}$ . So we get the following, by the additivity and inductivity in dimension zero of epsilon factors:

$$W(\sigma_{2k+1} \oplus \sigma_{2\ell+1}, \psi) = W(\chi_{2k+1}, \psi_{\mathbb{C}})W(\chi_{2\ell+1}, \psi_{\mathbb{C}}).$$

But for any  $m$ ,  $W(\chi_m, \psi_{\mathbb{C}})$  equals  $i^{-m}$  (cf.[T]). So we get

$$\varepsilon(\pi \oplus \pi') = (-1)^{k-\ell}.$$

On the other hand, we know by Proposition 3.1 that  $\pi_{2k+1}$  lifts to the spin group iff its restriction to  $\mathbb{C}^*$  does. It is easy to see that  $\pi_{2k+1}|_{\mathbb{C}^*}$  can be decomposed as  $z^{2k} \oplus z^{2k-1}\bar{z} \oplus \dots \oplus z\bar{z}^{2k-1} \oplus \bar{z}^{2k}$ . Applying Lemma 3.2 and noting that  $i$  defines the unique non-trivial element of  $(\mathbb{C}^*/\mathbb{R}^*)[2]$ , we see that  $\tilde{w}_2(\pi_{2k+1})$  is trivial iff  $k$  is 0 or 3 modulo 4. The Proposition now follows easily.  $\square$

## 6. A GEOMETRIC APPROACH

In this section we will indicate a geometric approach to prove Proposition A, which incidentally works for even residual characteristic as well. First we need some preliminaries. Fix a *non-archimedean* local field  $F$  of characteristic zero, with ring of integers  $\mathfrak{O}$ , uniformizer  $\varpi$ , residue field  $\mathbb{F}_q$  and a separable algebraic closure  $\bar{F}$ . Denote by  $F^{ur}$  maximal unramified extension in  $\bar{F}$  with completion  $\hat{F}^{ur} \subset \hat{\bar{F}}$ . Let  $\mathfrak{O}^{ur} \subset \bar{\mathfrak{O}}$  and  $\hat{\mathfrak{O}}^{ur} \subset \hat{\bar{\mathfrak{O}}}$  be the corresponding inclusions of rigs of integers. For every  $n \geq 1$ , let  $\Omega_F^n$  denote the complement of the union of all the rational hyperplanes in the projective space  $\mathbb{P}_F^{n-1}$ , equipped with the rigid analytic structure defined by Drinfeld, and  $X$  the universal family of formal groups associated to the corresponding formal  $\mathfrak{O}$ -scheme  $\hat{\Omega}_F^n$ . For every  $m \geq 1$ , the  $\varpi^m$ -division subgroup  $\Gamma_m$  of  $X$  define rigid étale covers  $\hat{\Gamma}_m = \Gamma_m \otimes_{\hat{\mathfrak{O}}^{ur}} \hat{F}^{ur}$  of  $\Omega_F^n \otimes_F F^{ur}$  (see [Ca]). Set

$$\Sigma^{n,m} = \hat{\Gamma}_m - \hat{\Gamma}_{m-1}$$

and

$$\Sigma_0^{n,m} = \text{Res}'_{\hat{F}^{ur}/F}(\Sigma^{n,m}),$$

where  $\text{Res}'$  denotes the descent to  $F$  of the disjoint union of  $\Sigma^{n,m} \otimes_{\hat{F}^{ur}, \tau} \hat{F}^{ur}$ , with  $\tau$  running over all the integral powers of the Frobenius  $\phi_q$ . Let  $\Sigma_0^n$  be the projective limit of  $\{\Sigma_0^{n,m} \mid m \geq 1\}$ .

Fix a prime  $\ell$ . Denoting by  $H^*$  the rigid étale cohomology (cf. V. Berkovich, "Étale cohomology for non-archimedean analytic spaces", to appear in Publ. Math. IHES), we set:

$$H^{n-1} = H^{n-1}(\Sigma_0^{n,m} \otimes_F \hat{\bar{F}}, \bar{\mathbb{Q}}_{\ell}).$$

This space admits simultaneous commuting actions of  $W_F$ ,  $\text{GL}(n, F)$  and of  $D^*$ , where  $D$  is the unique division algebra of dimension  $n^2$  over  $F$  of invariant  $1/n$ , but the action of  $\text{GL}(n, F)$  is not smooth. In fact,  $H^{n-1}$  is the linear dual of an



admissible representation of  $\mathrm{GL}(n, F)$ . Let us define  $H_{adm}^{n-1}$  to be the admissible subspace of  $H^{n-1}$  (for the action of  $\mathrm{GL}(n, F)$ ). It decomposes as a direct sum

$$H_{adm}^{n-1} \simeq \bigoplus m_{\sigma \otimes \pi'^{\vee} \otimes \pi} \sigma \otimes \pi'^{\vee} \otimes \pi,$$

where  $\sigma$ ,  $\pi'$  and  $\pi$  run over certain irreducible representations of  $W_F$ ,  $\mathrm{GL}(n, F)$  and  $D^*$  respectively, with  $m_{\sigma \otimes \pi'^{\vee} \otimes \pi}$  denoting the multiplicity of  $\sigma \otimes \pi'^{\vee} \otimes \pi$ . The expectation (see [Ca], §3.3) is that this should give a geometric model of the local Langlands conjecture giving rise to a trijection  $\sigma \mapsto \pi' \mapsto \pi$ , at least when restricted to *supercuspidal*  $\pi'$ . In particular,  $m_{\sigma \otimes \pi'^{\vee} \otimes \pi}$  should be 1. This conjecture is known to be true for  $n = 2$  by Carayol ([Ca]) (once we take care to use  $H_{adm}^1$  instead of  $H^1$ ). The facts on the rigid cohomology assumed in [Ca] have now been provided by the work of Berkovich (loc. cit.). One actually knows by M. Harris ("Supercuspidal representations in the cohomology of Drinfeld upper upper half spaces; elaboration of Carayol's program", preprint) that for any  $n$ , every supercuspidal representation of  $\mathrm{GL}(n, F)$  occurs in the linear dual of  $H^{n-1}$ . There is also now a purely local proof due to Faltings (The trace formula and Drinfeld's upper half plane, preprint) of the conjecture for  $n = 2$ , giving a description of the rigid  $H^1$  with compact supports of  $\Sigma_o^n \otimes_F \widehat{F}$ , where all the supercuspidals occur.

Now we give a second proof of Proposition A, which says that an irreducible representation  $\pi$  of  $D^*$  is orthogonal when the corresponding representation  $\sigma$  of  $W_F$  is symplectic. Indeed, fix such a pair  $(\sigma, \pi)$  and consider also the (supercuspidal) representation  $\pi'$  of  $\mathrm{GL}(2, F)$  given by the Jacquet-Langlands correspondence. Then  $\pi' \simeq \pi'^{\vee}$ , and  $\sigma \otimes \pi' \otimes \pi$  occurs in  $H_{adm}^1$  by the results above. Moreover, thanks to Berkovich (loc. cit., §6.3), one has Poincaré duality relating  $H^j$  with  $H_c^{2-j}$ , which gives us a non-degenerate pairing defined by cup product:

$$\langle, \rangle: H^1 \times H_c^1 \rightarrow H_c^2.$$

Carayol's description of the connected components in [Ca], §4.3, describes  $H_c^2$  as a module under  $W_F \times \mathrm{GL}(2, F) \times D^*$ . Moreover, the pairing  $\langle, \rangle$  can be seen to be equivariant for the action of this product group. Consequently we find using the self-duality of  $\sigma$ ,  $\pi$  and  $\pi'$ , multiplicity-freeness of  $H_{adm}^1$ , and the fact that supercuspidals do not intertwine with other representations, that  $\langle, \rangle$  defines a non-degenerate bilinear form  $B$  on (the space of)  $\sigma \otimes \pi' \otimes \pi$  with values in a one-dimensional subspace of  $H^2(\Sigma_o^n \otimes_F \widehat{F}, \overline{\mathbb{Q}}_\ell)$ , on which all three groups act by the trivial representation, as  $\det(\sigma)$  is trivial. It is evident that this invariant form  $B$  must be skew-symmetric; thus the tensor product  $\sigma \otimes \pi' \otimes \pi$  is symplectic. But we have already proved (see Proposition 2.7) that every supercuspidal, even generic, self-dual representation of  $\mathrm{GL}(2, F)$  is orthogonal. This implies that  $\pi$  must be orthogonal, as  $\sigma$  and  $\sigma \otimes \pi' \otimes \pi$  are both symplectic.

For general  $n > 2$ , note that  $\sigma$  can be symplectic only when  $n$  is even, in which case the cup product pairing on the self-dual part of  $H_{adm}^{n-1}$  will still be skew-symmetric. So once one knows the truth of the conjectural decomposition of  $H_{adm}^{n-1}$ , our reasoning above will show that the corresponding representation  $\pi$  of  $D^*$  is orthogonal, which justifies the conjecture made at the end of the introduction.

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